

## ORIGINAL

## BEFORE THE ARIZONA CORPORATION COMMISSION

2 COMMISSIONERS 2010 APR 22 A 10: 54 3 KRISTIN K. MAYES, Chairman Arizona Corporation Commission GARY PIERCE AZ CORP COMMISSION 4 PAUL NEWMAN DOCKETED SANDRA D. KENNEDY 5 **BOB STUMP** APR 2 2 2010 6 **DOCKETED** BY IN THE MATTER OF: DOCKET NO. S-20735A-10-0130 7 WORLD GROUP SECURITIES, INC., 8 CRD #114473 11315 Johns Creek Parkway Duluth, GA 30097-1517 10 TERRY E. WENGERT, CRD #2569257, An individual, PROCEDURAL ORDER 11 RESPONDENT. 12 BY THE COMMISSION: 13 On April 14, 2010, pursuant to Arizona Supreme Court Rule 38(a), Edwin A. Barkel, an 14 attorney with Lewis and Roca LLP filed with the Arizona Corporation Commission ("Commission") 15 a Motion to Associate Counsel Pro Hac Vice for Barry R. Goldsmith of Gibson, Dunn & Crutcher, 16 LLP in the above-captioned matter ("Motion"), on behalf of Respondent, World Group Securities, 17 Inc. The Motion lists Mr. Barkel as the designated member of the Arizona State Bar with whom 18 communications may be made and upon whom papers should be served. Attached to the Motion is a 19 copy of the Application for Appearance Pro Hac Vice filed with the State Bar of Arizona for Mr. 20 Goldsmith; a copy of his certificates of good standing from the jurisdictions in which he has been 21

On April 21, 2010, the Commission's Securities Division filed its response to the Motion indicating that it has no objection to the admission of Mr. Goldsmith as counsel *pro hac vice* in this matter.

admitted to practice law; and a copy of the Notice of Receipt of Complete Application from the State

In the discretion of the Commission, Barry R. Goldsmith should be permitted to appear and participate in the above-captioned matter on behalf of Respondent, World Group Securities, Inc.

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Bar of Arizona.

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1 IT IS THEREFORE ORDERED that Barry R. Goldsmith shall be admitted pro hac vice in the 2 above-captioned matter. 3 IT IS FURTHER ORDERED that the address for service of papers and other communications 4 for Mr. Goldsmith shall be: Barry R. Goldsmith 5 GIBSON, DUNN & CRUTCHER LLP 1050 Connecticut Avenue N.W. 6 Washington, DC 20036-5306 7 IT IS FURTHER ORDERED that the address for service of papers and other communications 8 for the Arizona-licensed attorney shall be: Edwin A. Barkel 10 LEWIS AND ROCA LLP 40 North Central Avenue, 19th Floor 11 Phoenix, AZ 85007-4429 12 IT IS FURTHER ORDERED that withdrawal of representation must be made in compliance 13 with A.A.C. R14-3-104(E) and Rule 1.16 of the Rules of Professional Conduct (under Rule 42 of the 14 Rules of the Arizona Supreme Court). Representation before the Commission includes the obligation 15 to appear at all hearings and procedural conferences, as well as all Open Meetings for which the 16 matter is scheduled for discussion, unless counsel has previously been granted permission to 17 withdraw by the Administrative Law Judge. 18 DATED this 22<sup>nd</sup> day of April, 2010. 19 20 21 CHIEF ADMINISTRATIVE LAW JUDGE 22 Copies of the foregoing mailed/delivered this 22<sup>nd</sup> day of April, 2010 to: 23 Barry R. Goldsmith Matt Neubert, Director GIBSON, DUNN & CRUTCHER LLP Securities Division 24 1050 Connecticut Avenue N.W. ARIZONA CORPORATION COMMISSION 1300 West Washington Street Washington, DC 20036-5306 25 Counsel Pro Hac Vice for Phoenix, AZ 85007 World Group Securities, Inc. 26 Edwin A. Barkel LEWIS AND ROCA LLP 27 40 North Central Avenue, 19th Floor

Secretary/to/Lyn Farmer

Phoenix, AZ 85007-4429

Attorneys for World Group Securities, Inc.